



**Westmorland
& Furness
Council**

Letter re-confirming categorisation as an elective professional client

To whom it may concern

16 October 2025

Dear Sir/Madam,

Refresher Letter - continue to be treated as a professional investor

LEI: 2138001WTWV30QUWHA26

Due to implementation in the UK of the Markets in Financial Instruments Directive (2014/65/EU) (MiFID II) we had informed you previously that **Westmorland and Furness Council** as Administering Authority of Cumbria Local Government Pension Scheme (Cumbria Pension Fund) wishes to be treated as a professional client for the purpose of:

- (a) any and all investment service(s) which it receives from you (the "Services"); and/or
- (b) the promotion to us of, and investment in, any and all fund(s) managed or advised by you (the "Fund Promotions/Investments").

As it is our responsibility to inform you if any of our circumstances have changed that may impact our being categorised as a professional client, we wished to confirm to you that **we continue to meet** the requirements expected of an elective professional client, including that decision makers have maintained the relevant expertise, experience and knowledge to make appropriate investment decisions and that they understand the risks involved.

We attach as an appendix some updated Fund information and website link for information, and we also send this letter with a copy of our current Authorised Signatory list.

If you have any queries regarding this, or if you require any further information, in the first instance please email the team at pensions@cumbria.gov.uk.

Yours faithfully,

David Hodgkinson
Director of Resources (Section 151 Officer)
on behalf of **Westmorland and Furness Council**
T. 01539 733333
E. David.hodgkinson@westmorlandandfurness.gov.uk

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T. 01539 733333

westmorlandandfurness.gov.uk





Appendix

1. Correspondence Address

Cumbria Pension Fund, Pensions Finance, Parkhouse Building, Kingmoor Business Park, Carlisle CA6 4SJ

Please remove any reference to 'Cumbria County Council' or 'The Courts, Carlisle CA3 8NA' from your records.

2. Senior Staff

David Hodgkinson replaces Pam Duke as Director of Resources (Section 151 Officer) from 2 June 2025.

3. Further information

Please see our website: www.cumbriapensionfund.org